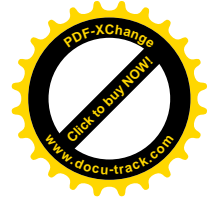




Appendix 3 – Strategic risks with a risk control of “TREAT”

Risk	Month last reviewed	Risk Owner	Net Risk Level	Review commentary
S.01 - Failure to focus on priorities and initiatives	Jul 13/14	Steve Atkinson - Chief Executive	8: Likelihood (medium) - Impact (high)	Transfer of HBBC and LCC staff completed efficiently within stated timescales and with positive feedback from all concerned about process and the building. Action being taken on airflow during unusually hot period of weather. Leisure centre procurement on track. Decision on Bus Station development taken on 16 July at Council; Sainsbury's contractually committed, provided THP complete work as per contract. Completion scheduled for mid 2015. Financial commitments/processes to be managed by Deputy Chief Executive, in consultation with other SLB members and fed back to Members.
S.04 - Damage to Reputation/adverse publicity	Jul 13/14	Steve Atkinson - Chief Executive	8: Likelihood (medium) - Impact (high)	Priority given to Bus Station decision, with positive local responses; need to maintain this. Mallory Park issue positive, whilst negotiations continue following decision by Executive. Ombudsman report still not received (19 July) and media affects may be mitigated, if positive conclusion in sight.
S.06 - Failure to implement the Town Centre Plan	Aug 13/14	Bill Cullen	9: Likelihood (high) - Impact (high)	Report considered by Council in July agreed to recommendations to revise the Development Agreement and agree the financial implications and risks. The detailed risks and mitigations identified in the report will be managed through the Programme Board for the Bus Station scheme and be updated on the Risk Register for the project. A letter confirming continued commitment to the scheme was received by Sainsbury's and reported to the July Council meeting. A revised programme for implementation has been prepared targeting commencement of works March 2014 and completion summer 2015. Work streams are in hand to deal with all pre-conditioned items in the Development Agreement and linked to the planning permission. Programme for submission of Reserve Matter applications has also been agreed in line with the project timetable.



Risk	Month last reviewed	Risk Owner	Net Risk Level	Review commentary
S.15 - Failure to successfully adopt and deliver the LDF leads to:	Aug 13/14	Bill Cullen	8: Likelihood (medium) - Impact (high)	Threat of Judicial Review raised by the Police re: contributions for Policing infrastructure. Now time expired but further discussions in hand over other legal risks arising from Police actions following issue of Decision Notice. Meeting programmed for 21 August with an external Barrister to seek advice. Timetable in place for reporting housing allocations and development control DPD and further local Ward Member meetings programmed to inform the reports which programmed for November.
S.19 - Failure to improve sickness absence	Jul 13/14	Steve Atkinson - Chief Executive	7: Likelihood (high) - Impact (medium)	Sickness levels still increasing in first quarter, but middle management training now been completed and effects should show in second quarter. Chief Executive taking renewed personal interest and involvement in this initiative to reduce sickness.
S.29 - Loss of contract for Supporting People funded services	Jul 13/14	Sharon Stacey	8: Likelihood (medium) - Impact (high)	Retendering to commence shortly. Chartered Institute of Housing carrying out work to establish options available to the council for future delivery of service. Report going to SLB re Control Centre upgrade, with view to possible consortium arrangement for future delivery across the county. Joint work continuing with NWLDC
S.33 - MIRA RGF Fund	Aug 13/14	Bill Cullen	9: Likelihood (high) - Impact (high)	The tender process for the power station upgrade has now been completed and works are programmed to commence from September. A Communications Strategy has been developed but currently being refined to reflect the impact of cable works now being routed through Higham, around the perimeter road, to the Hinckley grid. The tenders for the main highway work upgrades are currently being evaluated with main works programmed to start in November. The Highways Agency is programming the commencement of Pinch Point improvements at Dodwells/Longshoot from September 2014. Discussions are in hand between LCC, WCC and HA over the detailed design. DCLG are still to respond on the retention of the RGF funds in lieu of the Pinch Point scheme which is basing a risk on delivery of additional improvements within the RGF timescale. Discussions on this matter are in hand with DCLG.



Risk	Month last reviewed	Risk Owner	Net Risk Level	Review commentary
S.34 - Safeguarding of vulnerable adults, children & young people	Jul 13/14	Simon D. Jones	8: Likelihood (medium) - Impact (high)	3 of the 5 Designated Safeguarding Officers have received training via NSPCC. The Safeguarding Policy is being refreshed and there are regular updates being received/actioned from colleagues at LCC. 2 new DSO's identified within HBBC (HR and Comm Safety), Officers will receive training shortly. This will enhance coverage and support. Quarterly internal DSO meetings aimed at improving and coordinating delivery being undertaken
S.35 - Ash die back disease	Jul 13/14	Caroline Roffey	7: Likelihood (high) - Impact (medium)	Q1 - no cases detected on HBBC land, and no new guidance from DEFRA.
S.36 - Variances to Housing Repairs Account	Mar 12/13	Julie Kenny	9: Likelihood (high) - Impact (high)	Operational controls are in place to minimize additional expenditure. Reconciliations are taking place on a regular basis and weekly budget monitoring is now taking place. An improvement plan for the service has been developed and is being implemented. The financial position is reviewed on a weekly basis.
S.37 - Non delivery of capital projects which are interdependent	Jul 13/14	Sanjiv Kohli	7: Likelihood (high) - Impact (medium)	Risk refers to the independence of the Bus Station and Leisure Centre projects and associated Capital financing. A full report was taken to Council in July 2013 to outline the financial implications and financing required to ensure both schemes. Associated required authorised limits were agreed at this meeting to ensure that borrowing can take place to finance schemes going forward.
S.38 - Land Charges fees	Aug 13/14	Adam Bottomley	7: Likelihood (high) - Impact (medium)	A group of personal search companies have issued legal action against all local authorities seeking to recover fees charged for personal searches between 2005 and 2011. The LGA has appointed Bevan Brittan as solicitors to act on behalf of the Local Authorities. Bevan Brittan are currently seeking to agree a settlement with the claimants following advice that their claim is at least in part justified. Bevan Brittan are also seeking a contribution from central government towards the local authorities costs as the charges made were based on Statute
S.39 - Mallory Park - legal action	Jul 13/14	Rob Parkinson	9: Likelihood (high) - Impact (high)	Consultation on MPML proposals carried out with Village. CX report to Executive accepted. 170 persons attended Exec. Prosecution proceedings continuing with continuing discussions with MPML and village reps. Trial hearing date 15-16 August.