

FINANCE, AUDIT AND PERFORMANCE COMMITTEE – 06 JAN 2013

REPORT OF THE CHIEF OFFICER FOR CORPORATE GOVERNANCE & CUSTOMER ENGAGEMENT

RE: RISK MANAGEMENT FRAMEWORK

1. PURPOSE OF REPORT

- 1.1 To provide the Council's year to date position (2013/14) on:
- Corporate Risks
 - Operational (Service Improvement Planning) Risks

2. RECOMMENDATION

That the committee:

- (i) Note Corporate and Service area risks with a net risk level status of 7,8 or 9 (red - significant impact or likelihood of failure to mitigate the risk)

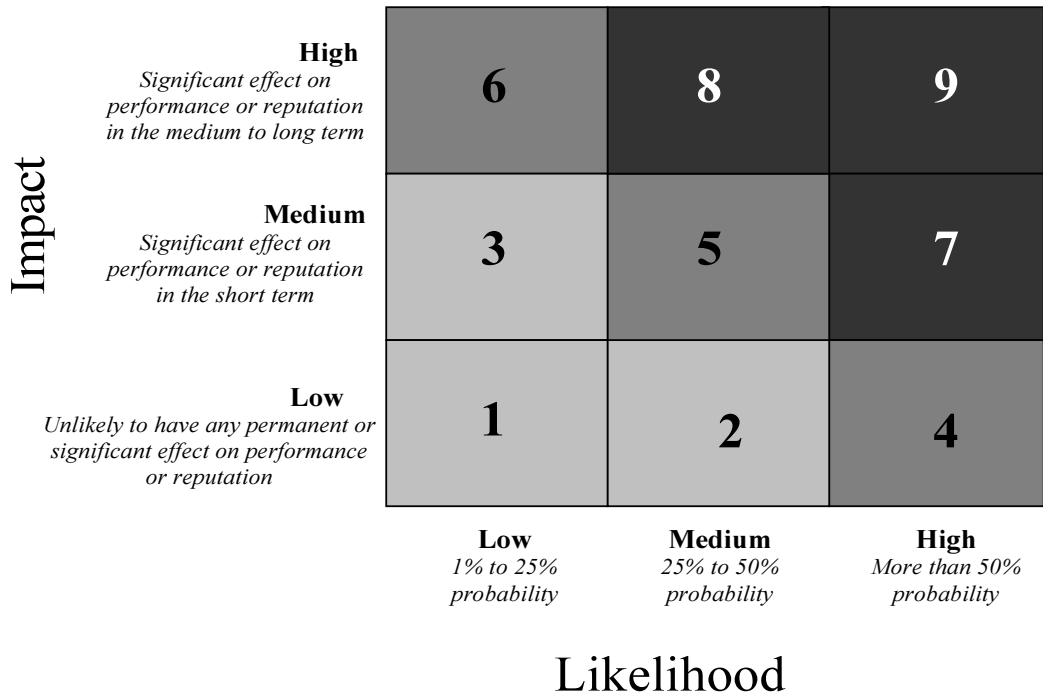
3. BACKGROUND TO THE REPORT

- 3.1 The Council reports quarterly on progress against its Performance Management Framework and Risk Management.
- 3.2 This report considers the latest status of corporate and service area (SIP) risks with regard to the Corporate Plan Strategic aims.

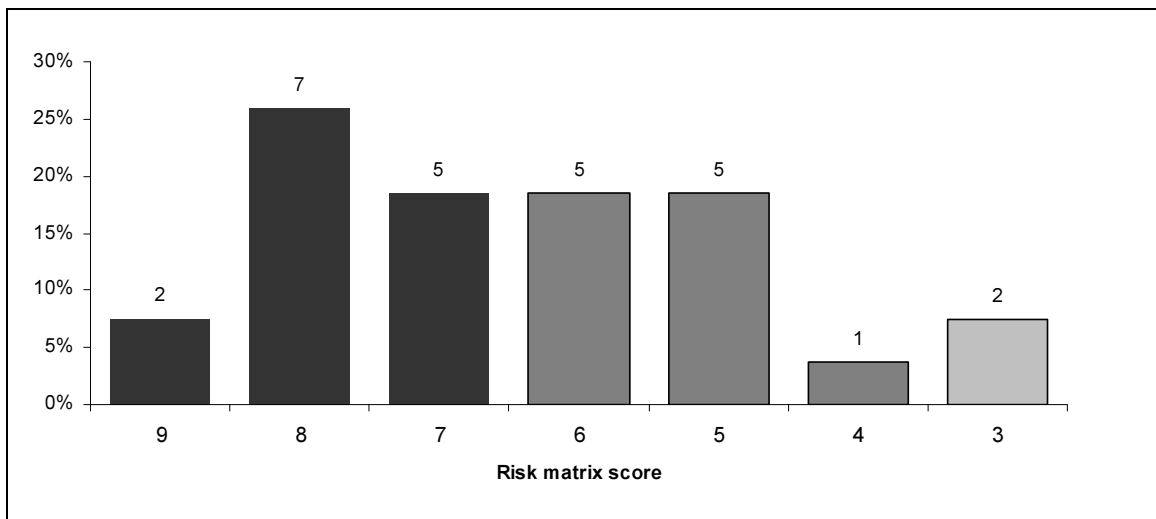
4. Risk scoring

- 4.1 Risks are scored according to how likely it is that they will happen and the level of impact on the council if they did happen. The following matrix is used for scoring risks:

Black = red
Dark grey = amber
Light grey = green

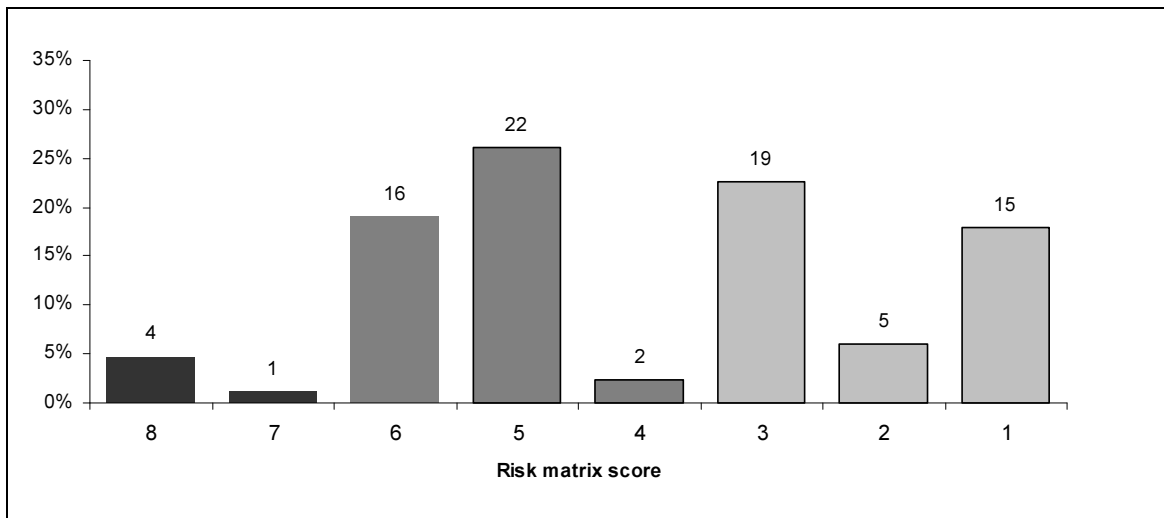


4.2 Corporate Risk register as at: 16 Dec 2013



Details of red (score of 7 or higher) corporate risks are provided at appendix 1

4.2 Service area (SIP) Risk register as at: 16 Dec 2013



Details of red (score of 7 or higher) service area risks are provided at appendix 2

6. DATA QUALITY MANAGEMENT

- 6.1 The performance information provided is in compliance with the council's data quality management strategy:
"ensure that data is managed to the highest quality"

When providing risk information data owners agree that they are managing data quality in accordance with the Data Quality Management Policy. In addition, the Corporate Performance service provides a 'help desk' facility and scrutinise risk reviews for compliance.

7. FINANCIAL IMPLICATIONS [DB]

None arising directly from this report

8. LEGAL IMPLICATIONS [AB]

None arising directly from this report

9. CORPORATE PLAN IMPLICATIONS

The report provides an update on the achievement of the Council's vision and revised Corporate Plan 2013 - 2016. The issues covered in this report relate to, and support the achievement of all the Council's Strategic Aims:

- Creating a vibrant place to work and live
- Empowering communities
- Supporting individuals
- Providing value for money and pro-active services

10. **CONSULTATION**

Each Service Manager has contributed information to the report and the risk management information is available on the Intranet via the TEN system

11. **RISK IMPLICATIONS**

It is the Council's policy to proactively identify and manage significant risks which may prevent delivery of business objectives.

This report summarizes all risks, strategic and operational (SIP) and therefore considers the risk implications with regards to the Corporate Plan.

12. **KNOWING YOUR COMMUNITY – EQUALITY AND RURAL IMPLICATIONS**

Equality and Rural implications are considered as part of the implementation of the Corporate Plan. The Corporate Plan 2013-16 priorities are informed by a borough wide consultation exercise completed in summer 2011.

13. **CORPORATE IMPLICATIONS**

- None

Background papers:- None

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