

Appendix 1 - Details of red (score of 7 or higher)

Risk	Risk failure leads to:	Net Risk Level	Review commentary	Risk Owner
S.01 - Failure to focus on priorities and initiatives	Underperformance and no corporate direction	8: Likelihood (medium) - Impact (high)	Arrangements for transfer of JobCentre Plus Employees well advanced, with full transfer scheduled for 4 November. Very positive progress continues with Crescent (Bus Station) development, with full engagement from Sainsburys and visit from the corporate funding bodies to HBBC. Leisure Centre tender process well-advanced and on target for selection of preferred provider scheduled for Council meeting in January 2014. Now concentrating on the wider car parking impacts/implications and means of mitigation in respect of town centre.	Steve Atkinson
S.04 - Damage to Reputation/adverse publicity	Lack of Stakeholder confidence, High staff turnover, low morale, inability to recruit, lack of confidence in our ability from our partners	8: Likelihood (medium) - Impact (high)	Crescent (Bus Station) progressing positively. Mallory Park now in Administration, with discussions expected at some point on potential new operator. Wider public now more effectively aware of the real background. Concentration on car parking in Hinckley town centre will be the major focus for ensuring reputation of Council.	Steve Atkinson
S.06 - Failure to implement the Town Centre Plan	lack of member support, private sector investment interest, public sector funding, public support, planning permission for the development and Lack of progress on Town Centre regeneration	9: Likelihood (high) - Impact (high)	Reserve matter application now submitted and due for determination in December. CPO general vesting order process has commenced and occupiers notified 17 October. Wilson Bowden/Barratt Board have signed off funding agreement. Revised Development Agreement fully drafted and due for sign off by end October. Arrangements in hand for joint procurement of commercial agents to commence joint marketing of the Crescent scheme, including the Cinema block from early 2014. Sainsburys fully engaged in inputting into the detailed design and have recently supported positive press release committing to scheme start in March 2014. Project Board arrangements remain in place with regular meetings scheduled to oversee progress and delivery of the scheme.	Bill Cullen

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S.15 - Failure to successfully adopt and deliver the LDF leads to:	Penalties from govt, loss of Planning Delivery Grant, uncontrollable development pressure,, unsustainable development, no clear spatial strategy, loss of employment/housing opportunities, impact on environment	8: Likelihood (medium) - Impact (high)	Revised LDS programme will be reported to December Council. Key evidence bases for renewable energy and G&T adopted by Executive in the last quarter. Dialogue is underway with relevant Ward Members regarding housing allocations DPD. Discussions commenced with Leicestershire authorities regarding potential for shared arrangements for preparing local plans and evidence based documents in the future. Internal strategic finance meetings commenced to review potential financial implications for the future Local Plan.	Bill Cullen
S.19 - Failure to improve sickness absence	Causes reduced capacity leading to failure/inability to deliver services/objectives efficiently	7: Likelihood (high) - Impact (medium)	Some longstanding cases now addressed, either by retirement or effective returns to work. Major cases being discussed with the Chief Executive. Level remains higher than at same point in 2012/13 and trend is increasing. Results from November will show if recent actions are effective of if we need to take different steps.	Steve Atkinson
S.29 - Loss of contract for Supporting People funded services	Possible reduction/change in provider of support for vulnerable people, damage to reputation of loss of contract/control and impact on staff resources	8: Likelihood (medium) - Impact (high)	Procurement commenced. PPQ passed. Decision to be taken whether to tender for the housing related support services. Work underway to establish what level of service could continue on the schemes, given a large proportion of tenants won't be eligible for the new support service.	Sharon Stacey
S.33 - MIRA RGF Fund	Impact on development of MIRA on the A5	9: Likelihood (high) - Impact (high)	Issues over Pinch Point Funding now resolved which reduces the risk to programme. Issues over sign off of the funding retention arising from the Pinch Point scheme have been escalated to Ministerial level to secure decision on the recommended retention of funds arising from Pinch Point impact to allow the scheme to remain on programme for delivery	Bill Cullen

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S.34 - Safeguarding of vulnerable adults, children & young people	Death or serious injury of a child, young and/or vulnerable adult	8: Likelihood (medium) - Impact (high)	Development of the new Bronze, Silver and Gold training packages is underway. Delivery to commence 2014.56 number of referrals made from April 2013 - Sept 2013. Compared to previous time last year we received 65 referrals . 14% Decrease.	Simon D. Jones
S.35 - Ash die back disease	Loss of all ash trees on HBBC property, impact on tree revenue budgets, parks and open spaces, housing land, and other land assets. May affect collection of green waste (leaves from ash trees may not be able to be composted). Detrimental effect on the biodiversity of Burbage Common and Woods SSSI	7: Likelihood (high) - Impact (medium)	Q2 - cases now confirmed within Leicestershire. Still no cases in the Borough, and no change in guidance from DEFRA	Caroline Roffey
S.36 - Variances to Housing Repairs Account	Risk of additional expenditure	8: Likelihood (medium) - Impact (high)	Operational controls are in place to minimize additional expenditure. Reconciliations are taking place on a regular basis and weekly budget monitoring is now taking place. An improvement plan for the service has been developed and is being implemented.	Julie Kenny
S.37 - Non delivery of capital projects which are interdependent	Issues to Town Centre plan and Leisure Centre Plans (S.06 and S.25)	7: Likelihood (high) - Impact (medium)	Bus Station and Leisure Centre projects & associated Capital financing. Full report taken to Council in July 2013 to outline financial implications and financing required to ensure both schemes. Associated required authorised limits agreed at this meeting to ensure borrowing can take place to finance schemes going forward. Further progress now made. Impact assessment done as part of evaluation of the funding of the revised Bus Station Development agreement. This impact assessment on the dependant funding of the Leisure Centre was included in the report that went to Full Council & Scrutiny Commission. Now more than likely that both these key schemes will come forward in 2014/15.	Sanjiv Kohli

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S.38 - Land Charges fees	Legal proceedings	7: Likelihood (high) - Impact (medium)	Proceedings remain stayed, pending information of potential loss of all the claimants being calculated. Bevan Brittan continue to negotiate a potential settlement. The process currently delayed by a case before the First Tier Tribunal which is seeking to determine what reasonable costs may be reasonably charged by a Council in responding to request under the Environmental Information Regulations. It is expected that this case will be referred to the European Court.	Adam Bottomley
S.39 - Mallory Park - legal action	May result in high legal costs. Possible damages from injunction if not successful. Reputational loss due to criticism over councils handling of the issue	7: Likelihood (high) - Impact (medium)	Prosecution successful and fined £2500 and £23,300 costs. MPML into voluntary Administration. Discussions continuing re future operation at circuit. Now moved to Reputation Risk rather than financial. If new operations start up and nuisance caused new notice will be required and financial risk on defending notice may occur. Health & Safety prosecution continuing - pre trial hearing 7 November. Own cost liability therefore reduced risk to 7. reputation risk.	Rob Parkinson
S.40 - HRA Investment Strategy	Non delivery of affordable housing, impact on housing stock and reputational risk	8: Likelihood (medium) - Impact (high)	Mitigation action in place: HRA Investment plan officer working group overseeing work streams linked to the plan, including affordable housing delivery, ECO funding, investment in stock and service improvements	Sharon Stacey